Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

03004.8078US02 Attorney Docket No. First Inventor Kelly T. Jones METHOD AND APPARATUS FOR ACTUATING MOVABLE COMPONENTS, INCLUDING CANARDS, OVER MULTIPLE

| (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) | express Mail Label No. EV343589891US | | | | |
|--|---|--|--|--|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 22] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (If filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C.113) [Total Sheets 4] 5. Oath or Declaration [Total Pages 2] a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 | 7. □ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. □ Computer Readable Form (CRF) b. Specification Sequence Listing on: i. □ CD-ROM or CD-R (2 copies); or ii. □ paper c. □ Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. ☒ Assignment Papers (cover sheet & document(s)) 10. □ 37 C.F.R.§3.73(b) Statement □ Power of (when there is an assignee) Attorney 11. □ English Translation Document (if applicable) 12. ☒ Information Disclosure ☒ Copies of IDS Statement (IDS)/PTO-1449 Citations 13. □ Preliminary Amendment 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. □ Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. □ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. ☒ Other: Check | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | |
| ☑ Claims the benefit of <u>U.S. Provisional Application Nos. 60/420,668, 60/420,196, 60/420,670 and 60/420,621</u> | | | | | |
| 17. CORRESPONDENCE ADDRESS 25096 PATENT TRADEMARK OFFICE Or □ Correspondence address below | | | | | |
| Name (Print/Type) John M. Wechkin | Registration No. (Attorney/Agent) 42,216 | | | | |
| Signature | Date 0 1 20 1905 | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | Complete if Known | |
|---|----------------------|-----------------------|
| FEE TRANSMITTAL | Application Number | |
| | Filing Date | Concurrently Herewith |
| for FY 2003 | First Named Inventor | Kelly T. Jones |
| Effective 10/01/2003. Patent fees are subject to annual revision. | Examiner Name | |
| Applicant claims small entity status. See 37 CFR 1.27 | Art Unit | |
| TOTAL AMOUNT OF PAYMENT (\$)1252.00 | Attorney Docket No. | 03004.8078US02 |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | |
|---|---|--|--|--|
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | |
| Order | Large Entity Small Entity | | | |
| Deposit Account: | Fee Fee Fee Description | Fee Paid | | |
| Deposit Account Number 50-0665 | Code (\$) Code (\$) | • | | |
| Deposit Account Name Perkins Coie LLP | 1051 130 2051 65 Surcharge – late filing fee or oath | | | |
| The Commissioner is authorized to: (check all that apply) | | Surcharge – late provisional filing fee or | | |
| ☐ Charge fee(s) indicated below ☐ Credit any overpayments | cover sheet | | | |
| Charge any additional fee(s) during the pendency of this application | 1053 130 1053 130 Non-English Specification 1812 2,520 1812 2,520 For filing a request for <i>ex parte</i> | For filing a request for ex parte | | |
| Charge fee(s) indicated below, except for the filing fee | reexamination | | | |
| to the above-identified deposit account. | | Requesting publication of SIR prior to | | |
| FEE CALCULATION | Examiner action | | | |
| 1. BASIC FILING FEE | 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid | 1251 110 2251 55 Extension for reply within first month | | | |
| Code (\$) Code (\$) | 1252 420 2252 210 Extension for reply within second mo | nth | | |
| 1001 770 2001 385 Utility filing fee 770.00 | 1253 950 2253 475 Extension for reply within third month | ŧ | | |
| 1002 340 2002 170 Design filing fee | 1254 1,480 2254 740 Extension for reply within fourth mon | th | | |
| 1003 530 2003 265 Plant filing fee | 1255 2,010 2255 1,005 Extension for reply within fifth month | | | |
| 1004 770 2004 385 Reissue filing fee | | Notice of Appeal | | |
| 1205 160 2005 80 Provisional filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | | |
| 1203 100 2003 00 Provisional filling fee | 1403 290 2403 145 Request for oral hearing | | | |
| SUBTOTAL (1) (\$) 770.00 | 1451 1,510 1451 1,510 Petitton to institute a public use proceeding | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1452 110 2452 55 Petition to revive - unavoidable | | | |
| Fee from | 1453 1,330 2453 665 Petition to revive - unintentional | | | |
| Extra Claims below Fee Paid | 1501 1,330 2501 665 Utility issue fee (or reissue) | | | |
| Total Claims 35 -20** = 15 X 18.00 = 270.00 Independent Claims 5 - 3** = 2 X 86.00 = 172.00 | 1502 480 2502 240 Design issue fee | | | |
| Independent Claims 5 - 3** = 2 X 86.00 = 172.00 Multiple Dependent | 1503 640 2503 320 Plant issue fee 1460 130 1460 130 Petitions to the Commissioner | | | |
| Widniple Dependent | 1460 130 1460 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(c | | | |
| Large Entity Small Entity | 1806 180 1806 180 Submission of Information Disclosur | ,, | | |
| Fee Fee Fee <u>Fee Description</u> | Stmt | C | | |
| Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 | 8021 40 8021 40 Recording each patent assignment property (times number of properties | | | |
| 1201 86 2201 43 Independent claims in excess of 3 | 1809 770 2809 385 Filing a submission after final rejecti | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | (37 CFR 1.129(a)) | O.I. | | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | 1801 770 2801 385 Request for Continued Examination (RCE) | | | |
| and over original patent | 1802 900 1802 900 Request for expedited examination of | fa | | |
| SUBTOTAL (2) (\$) 442.00 | SUBTOTAL (2) (\$) 442.00 design application | | | |
| | Other fee (specify) | | | |
| **or number previously paid, if greater: For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | (\$) 40.00 | | |

| SUBMITTED BY | | | (Complete (if applicable) |
|-------------------|-----------------|---|---------------------------|
| Name (Print/Type) | John M. Wechkin | Registration No. (Attorney/Agent) 42,216 | Telephone (206) 359-8000 |
| Signature | hom. www. | | Date Oct. 20 Lus |

WARKING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.